Notes on Key Players on the IPO Team

Name: _____Class_____ Date: _____

Read the article titled "IPO Basics: Investment Bankers, Underwriters, and Other Key Players." (http://www.inc.com/articles/1999/11/15746.html) Then answer the following questions:

1. Why does this writer describe the selection of an investment banking firm as a "beauty contest"?

2. The **investment banker** is also referred to as the _____ of the IPO. This is because the investment bank usually purchases the stock that is being sold in the IPO and resells it to other investors.

3. Name four other "players" on the IPO team besides the investment banker:

4. Describe the main work for which the **lawyers** on the IPO team are responsible.

5. Describe the main work for which the **auditors** on the IPO team are responsible.

(This is a fill-in PDF form. You may type your answers into the blanks, then print. Or you may print the form and fill it in by hand. For course credit, submit the form to your teacher.)

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